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Washington, D.C. 20231

Attorney Docket No.: 10692V-000 Client Reference No.: PTQ Ref.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael A. Adams et al.

Application No.: 10/042,039

Filed: October 25, 2001

For: FORMULATIONS AND METHODS OF USING NITRIC OXIDE MIMETICS AGAINST A MALIGNANT

CELL PHENOTYPE

Examiner:

Art Unit: 1616

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Michael A. Adams et al. Application No.: 10/042,039

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 925-472-5000 Fax: 925-472-8895

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10/042,039 **Application Number** Filing Date October 25, 2001 First Named Inventor Adams, Michael A. Group Art Unit 1616

Total Number of Pages in This Submission Attorney Docket Number 10692V-000521US

ENCLOSURES (check all that apply)						
Fee Transmittal Fo	orm	Assignment Pap		After Allowance Communication to Group		
Fee Attached		☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Repl	ly	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition		Proprietary Information		
Affidavits/decla	aration(s)	Petition to Convert to a Provisional Application		Status Letter		
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Firm Townsend and Townsend and Crew LLP						
and Individual name Joseph R. Snyder			Reg. No	. 39,381		
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Substitute to Horm 1449A/PTO Complete if Known **Application Number** 10/042,039 INFORMATION DISCLOSURE **Filing Date** October 25, 2001 STATEMENT BY APPLICANT **First Named Inventor** Adams, Michael A., et. al. Art Unit 1616 (use as many sheets as necessary) **Examiner Name** Sheet 3 of Attorney Docket Number 10692V-000521US

_		Document Number			-
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Belevant Figures Appear
	AA	US-5,554,638	09-10-1996	Dewhirst et al.	
	AB	US-5,650,442	07-22-1997	Mitchell et al.	1/2 2
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	AD	US-5,814,667	09-29-1998	Mitchell et al.	1/2 3/-
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	AF	US-5,840,759	11-24-1998	Mitchell et al.	100
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	Al	US-5,965,360	10-12-1999	Zain et al.	000

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ВА	wo	93/20806		10-28-1993	United States of America, National Institute of Health		
BB	wo	96/15781	•	05-30-1996	United States of America, National Institute of Health		
BC	wo	98/58633		12-30-1998	Queen's University at Kingston		
BD	wo	99/48916		09-30-1999	The Board of Trustees, Leland Stanford Jr. Univ.		
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OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
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	CA	BRIZEL et al., "Tumor Hypoxia Adversely Affects the Prognosis of Carcinoma of the Head and Neck", Int. J. Radiation Oncology Biol. Phys. 1997 38(2):285-289				
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